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| APPLICATION NO.   | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO.               | CONFIRMATION NO.            |
| 10/500,145  | 07/09/2004  | Martin Volland       | 255259US0PCT                      | 5229                        |
| 22850 7590 10/25/2007<br>OBLON, SPIVAK, MCCLELLAND MAIER & NEUSTADT, P.C.<br>1940 DUKE STREET<br>ALEXANDRIA, VA 22314 |             |                      | EXAMINER<br>NWAONICHA, CHUKWUMA O |                             |
|   |             |                      | ART UNIT<br>1621                  | PAPER NUMBER                |
|   |             |                      | NOTIFICATION DATE<br>10/25/2007   | DELIVERY MODE<br>ELECTRONIC |

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

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|                              |  |                                       |  |
|------------------------------|--|---------------------------------------|--|
| <b>Office Action Summary</b> | <b>Application No.</b><br>10/500,145     | <b>Applicant(s)</b><br>VOLLAND ET AL. |  |
|                              | <b>Examiner</b><br>Chukwuma O. Nwaonicha | <b>Art Unit</b><br>1621               |  |

**-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --**  
**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

#### Status

- 1) ☒ Responsive to communication(s) filed on 15 August 2007.
- 2a) ☐ This action is **FINAL**.                      2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

#### Disposition of Claims

- 4) ☒ Claim(s) 17-29 is/are pending in the application.
- 4a) Of the above claim(s) 27-29 is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 17-26 is/are rejected.
- 7) ☒ Claim(s) 17-26 is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

#### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

#### Priority under 35 U.S.C. § 119

- 12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☒ All    b) ☐ Some \*    c) ☐ None of:
1. ☒ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.

#### Attachment(s)

- |   |   |
|---|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)   | 4) <input type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)                                  | 5) <input type="checkbox"/> Notice of Informal Patent Application                       |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)<br>Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____  |

## **DETAILED ACTION**

### ***Current Status***

1. Claims 17-29 are pending in the application.

### ***Election/Restrictions***

Applicant's election with traverse of Group I: 17-26 in the reply filed on 8/15/07 is acknowledged. The traversal is on the ground(s) that Groups 1-8 are related as a single invention..

The traversal is not found persuasive because the inventions of Groups 1-8 are independent and patentably distinct because there is no patentable co-action among the eight groups and a reference anticipating one member will not render the other obvious. The eight Groups are directed to different processes that require different reaction conditions. These eight groups are different inventions and require different search strategies that will impose an undue burden on the Examiner.

The requirement is still deemed proper and is therefore made **FINAL**.

Groups 2-8 are withdrawn from further consideration pursuant to 37 CFR 1.142(b) as being drawn to a nonelected Groups, there being no allowable generic or linking claim. All claims consisting of Group 1 will be examined on the merits. Applicants are reminded of their right to file divisional applications to the non-elected claims.

Applicants' are reminded that upon the cancellation of claims to a non-elected invention, the inventorship must be amended in compliance with 37 CFR 1.48(b) if one or more of the currently named inventors is no longer an inventor of at least one claim

Art Unit: 1621

remaining in the application. Any amendment of inventorship must be accompanied by a request under 37 CFR 1.48(b) and by the fee required under 37 CFR 1.17(i).

***Priority***

Acknowledgment is made of applicant's claim for foreign priority under 35 U.S.C. 119(a)-(d).

***Claim Objection***

Claims 17-26 are objected because they contain the non-elected subject matter.

***Claim Rejections - 35 USC § 112***

The following is a quotation of the second paragraph of 35 U.S.C. 112:  
The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

Claim 25 is rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

Claim 25 is indefinite because the variables N and R are not defined. It is required that applicants properly define these variables. Correction is required.

**Claims** 17-26 provide for the method of synthesizing a compound, but, since the claim does not set forth any steps involved in the method/process, it is unclear what method/process applicant is intending to encompass. A claim is indefinite where it merely recites a process without any active, positive steps delimiting how this use is actually practiced. The steps for synthesizing a compound are not there.

**Claims** 17-26 are rejected under 35 U.S.C. 101 because the claimed recitation of a method of synthesizing a compound, without setting forth any steps involved in the

Art Unit: 1621

process, results in an improper definition of a process, i.e., results in a claim which is not a proper process claim under 35 U.S.C. 101. See for example *Ex parte Dunki*, 153 USPQ 678 (Bd.App. 1967) and *Clinical Products, Ltd. v. Brenner*, 255 F. Supp. 131, 149 USPQ 475 (D.D.C. 1966).

### ***Claim Rejections - 35 USC § 103***

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

The factual inquiries set forth in *Graham v. John Deere Co.*, 383 U.S. 1, 148 USPQ 459 (1966), that are applied for establishing a background for determining obviousness under 35 U.S.C. 103(a) are summarized as follows:

1. Determining the scope and contents of the prior art.
2. Ascertaining the differences between the prior art and the claims at issue.
3. Resolving the level of ordinary skill in the pertinent art.
4. Considering objective evidence present in the application indicating obviousness or nonobviousness.

Claims 17-26 are rejected under 35 U.S.C. 103(a) as being unpatentable over Breikes et al., {US 5,710,344}.

Applicants claim a method for the synthesis of compounds selected from the group consisting of aminodihalophosphines, diaminohalophosphines, triaminophosphines, wherein an acid is formed during said synthesis the improvement comprising the step of eliminating said acid formed during said synthesis in the

Art Unit: 1621

presence of an auxiliary base, wherein b) the auxiliary base and the acid form a salt which is liquid at temperatures at which the desired product is not significantly decomposed during the process of separating off the liquid salt and c) the salt of the auxiliary base forms two immiscible liquid phases with the desired product or the solution of the desired product in a suitable solvent.; wherein all the other variables are as defined in the claims.

**Determination of the scope and content of the prior art (M.P.E.P. §2141.01)**

Breikes et al. teach a process for the production of aminophosphines with in the presence of an amine to form a salt and the desired product, which was filtered to separate the salt. The reaction was conducted at  $-78^{\circ}\text{C}$  at a reduced pressure.

**Ascertainment of the difference between the prior art and the claims (M.P.E.P.. §2141.02)**

Breikes et al. process for preparing aminophosphines differs from the instantly claimed process in that applicants' claim a process that makes aminophosphines at  $30^{\circ}\text{C}$  to  $190^{\circ}\text{C}$  while Breikes et al. teach a process conducted at a lower temperature. Another difference between applicants claimed invention and the prior art of Breikes et al. is that applicants claim a process that employs cyclic amines base with melting point less than  $160^{\circ}\text{C}$  while the prior art employed triethylamine base with boiling point  $89.7^{\circ}\text{C}$ .

**Finding of prima facie obviousness--rational and motivation (M.P.E.P.. §2142-2143)**

Art Unit: 1621

The instantly claimed process for preparing aminophosphines would have been suggested to one of ordinary skill because one of ordinary skill wishing to obtain aminophosphines is taught to employ the process of Breikes et al.

One of ordinary skill in the art would have a reasonable expectation of success in practicing the instant invention by varying the amine base, the temperature and pressure from the teaching of Breikes et al. to arrive at the instantly claimed process for preparing aminophosphines. Said person would have been motivated to practice the teaching of the reference cited because it demonstrates that aminophosphines are useful in industrial applications. The Examiner notes that varying the pressure, temperature, catalyst or reactants in a chemical reaction is a well-known chemical practice to optimize the process efficiency of the system and does not constitute a patentable distinction. Additionally, merely modifying the process conditions such as temperature and concentration is not a patentable modification absent a showing of criticality. In re Aller, 220 F.2d 454, 105 U. S. P. Q. 233 (C. C. P. A. 1955). Therefore, the instantly claimed invention would therefore have been obvious to one of ordinary skill in the art.

***Claim Rejections - 35 USC § 102***

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

Art Unit: 1621

**Claim 17** is rejected under 35 U.S.C. 102(b) as being anticipated by Breikes et al., {US 5,710,344}.

Breikes et al. disclose applicant's claimed process. See the process on column 5.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Chukwuma O. Nwaonicha whose telephone number is 571-272-2908. The examiner can normally be reached on Monday thru Friday, 8:30am to 5:00pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Yvonne (Bonnie) Eyler can be reached on 571-272-0871. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Chukwuma O. Nwaonicha, Ph.D.  
Patent Examiner  
Art Unit: 1621



Application/Control Number: 10/500,145

Page 8

Art Unit: 1621

**J. PARSA**  
**PRIMARY EXAMINER**

A handwritten signature in black ink, appearing to read 'J. Parsa', is written over the printed name and title.

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Yvonne (Bonnie) Eyler  
Supervisory Patent Examiner,  
Technology Center 1600